



Practitioner's Packet No.

67,200-207

COPY OF PAPERS
ORIGINALLY FILED

PATENT

HFS#
3743
LO
3-6-02
#10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Shih

Serial No. 09/ 410,896

Group No.: 3743

Filed: Oct. 2, 1999

Examiner: L. Ciric

For: Apparatus And Method For cooling A Semiconductor Substrate

Box Appeal
Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED

MAR 05 2002

NOTICE OF APPEAL FROM THE PRIMARY EXAMINER TECHNOLOGY CENTER R3700
TO THE BOARD OF PATENT APPEALS AND INTERFERENCES

Applicant hereby appeals to the Board from the decision of the Primary Examiner mailed November 6, 2001
finally rejecting claims 1 - 3, 5, 8 - 10, 12 - 16 and 18 - 20.

The item(s) checked below are appropriate:

1. STATUS OF APPLICANT

This application is on behalf of

☒ other than a small entity.

☐ a small entity.

A verified statement

☐ is attached.

☐ was already filed on _____.

2. FEE FOR FILING NOTICE OF APPEAL

Pursuant to 37 C.F.R. 1.17(e) the fee for filing the Notice of Appeal is:

☐ small entity \$160.00

☒ other than a small entity \$320.00

Notice of Appeal fee due: \$ 320.00

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

☒ MAILING

deposited with the United States Postal
Service filed by first class in an envelope
addressed to: Box Appeal, Assistant Commissioner
for Patents, Washington, D.C. 20231

Date: February 6, 2002

Kathy Dixon

3. EXTENSION OF TERM

NOTE: The time periods set forth in 37 C.F.R. 1.191 are subject to the provision of §1.136 for patent applications. 37 C.F.R. 1.191(d). (But see 37 C.F.R. 1.645 for extension of time in interference proceedings and 37 C.F.R. 1.550(c) for extension of time in reexamination proceedings).

(complete (a) or (b), as applicable)

The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

- (a) ☐ Applicant petitions for an extension of time under 37 C.F.R. 1.136
(fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:

	Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/>	one month	\$ 110.00	\$ 55.00
<input type="checkbox"/>	two months	\$ 400.00	\$200.00
<input type="checkbox"/>	three months	\$ 920.00	\$460.00
<input type="checkbox"/>	four months	\$1,400.00	\$720.00

Fee \$ _____

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for _____ months has already been secured. The fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ _____

or

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

4. TOTAL FEE DUE

The total fee due is:

Notice of Appeal fee \$ 320.00

Extension fee (if any) \$ _____

TOTAL FEE DUE: \$ 320.00

5. FEE PAYMENT

- ☐ Attached is a check in the sum of \$ 0
☒ Charge Visa Credit Card No. 4756 8461 9568 0263 the sum of \$ 320.00
A duplicate copy of this transmittal is attached.

6. FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, 1065 O. G. 31-33.

- ☒ If any additional extension and/or fee is required,
charge Visa Credit Card No. 4756 8461 9568 0263

AND/OR

- ☐ If any additional fee for claims is required,
charge Visa Credit Card No. 4756 8461 9568 0263



Signature of Practitioner

Reg. No. 31,311

Randy W. Tung
(type or print name of attorney)

Tel. No. (248) 540-4040

Tung & Associates
P.O. Address
838 W. Long Lake Road - Suite 120
Bloomfield Hills, Michigan 48302